

Multiplicative lattices, their primes and spectral spaces

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Joint papers with A. Facchini and G. Janelidze

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Definition

A topological space X is *spectral* if

- X is quasi-compact;
- X has a basis \mathcal{B} of quasi-compact open sets such that $A, B \in \mathcal{B} \implies A \cap B \in \mathcal{B}$;
- X is sober.

Ingredients

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- X is sober.

Definition

Let R be a commutative ring with 1. Then the Zariski topology on $\text{Spec}(R) := \{\text{prime ideals of } R\}$ is given by the closed sets of the type

$$V(\mathfrak{i}) := \{\mathfrak{p} \in \text{Spec}(R) \mid \mathfrak{p} \supseteq \mathfrak{i}\} \quad (\mathfrak{i} \trianglelefteq R)$$

Theorem (Hochster, 1969)

X is spectral iff $X \cong \text{Spec}(R)$.

Ubiquity of spectra

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- R commutative ring with 1 $\mapsto \text{Spec}(R)$.
- L bounded distributive lattice $\mapsto \text{Spec}(L)$.
- S commutative semiring with 1 $\mapsto \text{Spec}(S)$.
- M commutative monoid $\mapsto \text{Spec}(M)$.
- G group $\mapsto \text{Spec}(G)$.
- R noncommutative ring with 1 $\mapsto \text{Spec}(R)$.
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Multiplicative lattices

A *multiplicative lattice* is a complete lattice L endowed with an operation

$$\cdot : L \times L \rightarrow L, \quad (x, y) \mapsto x \cdot y$$

satisfying $x \cdot y \leq x \wedge y$, for every $x, y \in L$.

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Examples

- Let R be a ring and let $L := \mathcal{I}(R) = \{\text{two-sided ideals of } R\}$. \cdot is the usual multiplication of ideals. Notice that $\mathfrak{i} \cdot \mathfrak{j} \subseteq \mathfrak{i} \cap \mathfrak{j}$, for every $\mathfrak{i}, \mathfrak{j} \in L$.
- Let L be a complete lattice. Then we can take $\cdot = \wedge$.
- Let G be a group and let $L := \{\text{normal subgroups of } G\}$. Then $H \cdot K := [H, K]$.

Warning: \cdot can fail to be commutative!!

Example

\cdot = multiplication of ideals of noncommutative rings.

Warning: \cdot can fail to be associative!!

Example

Let $G := \mathbf{S}_3$, $L := \{\text{normal subgroups of } G\} = \{G, \mathbf{A}_3, \{1\}\}$, $A \cdot B := [A, B]$,
for $A, B \in L$. Then

$$[[G, G], \mathbf{A}_3] = [\mathbf{A}_3, \mathbf{A}_3] = \{1\}$$

$$[G, [G, \mathbf{A}_3]] = [G, \mathbf{A}_3] = \mathbf{A}_3$$

IDEA: if R is a ring and $\mathfrak{p} \in \mathcal{I}(R) \setminus \{R\}$, then \mathfrak{p} is prime iff whenever $\mathfrak{a}, \mathfrak{b} \in \mathcal{I}(R)$, then $\mathfrak{a} \cdot \mathfrak{b} \subseteq \mathfrak{p}$ implies $\mathfrak{a} \subseteq \mathfrak{p}$ or $\mathfrak{b} \subseteq \mathfrak{p}$.

Definition

Let L be a multiplicative lattice, let 1 (resp., 0) be the maximum (resp., the minimum) of L . An element $p \in L$ is *prime* if

- (1) $p \neq 1$ and
- (2) for every $x, y \in L$, $x \cdot y \leq p$ implies $x \leq p$ or $y \leq p$.

The set $\text{Spec}(L) := \{\text{prime elements of } L\}$ is *the prime spectrum of } L*.

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- if G is a group, $\text{Spec}(G) = \text{Spec}(\{\text{normal subgroups of } G\})$.

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The Zariski topology

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$$V(x) := \{p \in \text{Spec}(L) \mid x \leq p\} \quad D(x) := \text{Spec}(L) \setminus V(x).$$

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For every $x, y \in L$ and $S \subseteq L$ we have:

- $V(1) = \emptyset$ and $V(0) = \text{Spec}(L)$;
- $V(x) \cup V(y) = V(x \cdot y)$;
- $\bigcap_{s \in S} V(s) = V(\bigvee S)$.

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Proposition

$\text{Spec}(L)$ is sober.

Definition

Let L be a multiplicative lattice.

- An element $x \in L$ is a *radical element* if $x = \bigwedge S$, for some $S \subseteq \text{Spec}(L)$.
Let

$$\text{Rad}(L) := \{\text{radical elements of } L\}.$$

- For every $x \in L$, let $\sqrt{x} := \bigwedge V(x)$ be *the radical of x* .
- The map $\sqrt{} : L \rightarrow L$, $x \mapsto \sqrt{x}$, is a closure operator.
- For every $x, y \in L$, $V(x) = V(\sqrt{x})$ and $\sqrt{x} \leq \sqrt{y} \iff V(y) \subseteq V(x)$.

Let X a complete lattice. Recall that an element $c \in X$ is compact if whenever $S \subseteq X$ and $c \leq \bigvee S$ then $c \leq \bigvee F$, for some finite subset $F \subseteq S$. The lattice X is said to be algebraic if every element of X is the join of compact elements of X .

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- The mapping $\text{Rad}(L) \rightarrow \mathcal{O}(L)$, $x \mapsto D(x)$ is an isomorphism of posets.
- In particular, $\text{Rad}(L)$ is a complete lattice, **but** given $x, y \in \text{Rad}(L)$, it can happen that $x \cdot y \notin \text{Rad}(L)$.

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- However, $\text{Rad}(L)$ is a multiplicative lattice (take $\cdot := \text{infimum}$).
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- $\text{Spec}(L) = \text{Spec}(\text{Rad}(L))$.

Proposition

Let L be a multiplicative lattice. Then the following are equivalent.

- 1 $\text{Spec}(L)$ is spectral.
- 2 $\text{Rad}(L)$ is algebraic, 1 is compact in $\text{Rad}(L)$, and if x, y are compact in $\text{Rad}(L)$, then so is $x \wedge y$.

Definitions

Let L be a multiplicative lattice.

- We say that L satisfies the *monotonicity condition* if, for every

$$x, y, z, t \in L, (x \leq y, z \leq t) \Rightarrow x \cdot z \leq y \cdot t.$$

- We say that L satisfies the *weak Kaplansky condition* if for every compact element $x \in L$, then x^2 is still compact.
- We say that L satisfies the *Kaplansky condition* if, for all compact elements $x, y \in L$, then $x \cdot y$ is still compact.
- We say that L is *m-distributive* if

$$x \cdot (y \vee z) = (x \cdot y) \vee (x \cdot z) \text{ and } (x \vee y) \cdot z = (x \cdot z) \vee (y \cdot z) \quad \forall x, y, z \in L.$$

Theorem

Let L be a multiplicative lattice with the following properties:

- 1 L is algebraic, m -distributive and 1 is compact;
- 2 L satisfies the weak Kaplansky condition;
- 3 if $x, y \in L$ are compact, there is a compact element $z \in L$ such that $\sqrt{x \cdot y} = \sqrt{z}$.

Then $\text{Spec}(L)$ is spectral.

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Special case

Let L be a multiplicative lattice with the following properties:

- 1 L is algebraic, m -distributive and 1 is compact;
- 2 L satisfies the Kaplansky condition.

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Definition (Kaplansky, 1974)

A ring R with 1 is *neo-commutative* if

$(\mathfrak{a}, \mathfrak{b} \trianglelefteq R \text{ finitely generated}) \Rightarrow \mathfrak{a}\mathfrak{b} \text{ finitely generated}$

Definition (Kaplansky, 1974)

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Corollary

If R is neo-commutative, then $\text{Spec}(R)$ is spectral.

A prime ideal principle

Let R be a commutative ring.

Theorem (Krull, 1929)

Let $S \subseteq R$ be multiplicative. Then every maximal element of

$$\{\mathfrak{i} \in \mathcal{I}(R) \mid \mathfrak{i} \cap S = \emptyset\}$$

is prime.

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Theorem (Cohen, 1950)

R is Noetherian iff every prime ideal of R is finitely generated.

A prime ideal principle

Let L be a multiplicative lattice, $A \subseteq L$, $a, b \in L$, and let

$$(a :_l b) := \bigvee \{x \in L \mid x \cdot b \leq a\} \quad (a :_r b) := \bigvee \{x \in L \mid b \cdot x \leq a\}.$$

- We say that L is A -generated if, for every $x \in L$, $x = \bigvee F$, for some $F \subseteq A$.
- A set $F \subseteq L$ is *left A-Oka* if $1 \in F$ and, given elements $x \in A, y \in L$,
 $(x \vee y \in F \text{ and } (y :_l x) \in F) \Rightarrow y \in F$.
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- A set $F \subseteq L$ is *A-Ako* if $1 \in F$ and, given elements $x, y \in A, z \in L$,
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Example

Let R be a commutative ring, $L := \mathcal{I}(R)$, $A := \{xR \mid x \in R\}$

- 1 $\{\text{finitely generated ideals of } R\}$ is A -Oka.

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- A set $F \subseteq L$ is *A-Ako* if $1 \in F$ and, given elements $x, y \in A, z \in L$,
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Example

Let R be a commutative ring, $L := \mathcal{I}(R)$, $A := \{xR \mid x \in R\}$

- 1 $\{\text{finitely generated ideals of } R\}$ is A -Oka.
- 2 If $S \subseteq R$ is multiplicative, then $\{i \in \mathcal{I}(R) \mid i \cap S \neq \emptyset\}$ is A -Ako.

A prime ideal principle

Theorem

Let L be a multiplicative lattice with the monotonicity condition and that is A -generated, for some $A \subseteq L$. Assume that a set $F \subseteq L$ satisfies one of the following conditions:

- F is a left A -Oka subset;
- F is a right A -Oka subset;
- F is an A -Oka subset and the multiplication of L is associative;
- F is an A -Ako subset.

Then every maximal element of $L \setminus F$ is prime.